

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,401	03/05/2001	Zuhua Zhu	NOVA-P015

CONFIRMATION NO. 8091

22877  
FERNANDEZ & ASSOCIATES LLP  
1047 EL CAMINO REAL  
SUITE 201  
MENLO PARK, CA 94025

## FORMALITIES LETTER



\*OC000000006018806\*

Date Mailed: 04/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

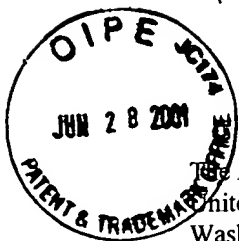
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/05/2001 JADD01 00000036 09800401

01 FC:205

65.00 OP



07-02-01

506 for #3

The Assistant Commissioner of Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231  
ATTN: Box Missing Parts

Re: U.S. Utility Patent Application  
Appl. No. 09/800,401; Filed 03/05/2001  
For: **SEMICONDUCTOR EUTECTIC ALLOY METAL (SEAM) TECHNOLOGY  
FOR FABRICATION OF COMPLIANT COMPOSITE SUBSTRATES AND  
INTEGRATION OF MATERIALS**  
Inventor(s): ZHU, et al

Sir:

The following documents in the **Continued Prosecution Application (CPA)** are forwarded herewith for action by the U.S. Patent and Trademark Office:

1. Copy of Notice to File Missing Parts of Non-Provisional Application;
2. An Executed Combined Declaration and Power of Attorney according to 37 CRF § 1.63 (d) (iv) for Patent Application No. 09/378,769, filed on 08/20/1999;
3. Form PTO-1082 (in duplicate);
4. A return post card; and
5. Check No. 700 for \$ 65.00 to cover:  
Oath and declaration surcharge: \$ 65.00  
Assignment fee: \$ .00

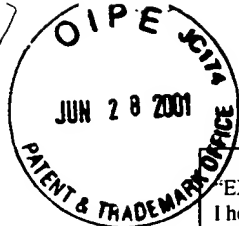
It is respectfully requested that the attached postcard be stamped with the receipt date of the above documents and returned to the addressee as soon as possible.

Respectfully submitted,

DENNIS S. FERNANDEZ, ESQ.  
Reg. No. 34,160

6/28/2001  
Date

**FERNANDEZ & ASSOCIATES, LLP**  
PATENT ATTORNEYS  
PO BOX D  
MENLO PARK, CA 94026-6204  
(650) 325-4999  
(650) 325-1203 FAX  
EMAIL: [iploft@iploft.com](mailto:iploft@iploft.com)



Certificate of Mailing By "U.S. Express Mail" Under 37 C.F.R. 1.10(c)  
"EXPRESS MAIL" Mailing Label Number: EL766119455US Date of Deposit: 6/28/2001  
I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner For Patents, Washington, DC 20231.  
Name: Maritza Kidd 6/28/2001  
Signature: Maritza Kidd Signature Date

Docket No. : NOVA-P015

## TRANSMITTAL LETTER

Assistant Commissioner of Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231  
**ATTN: BOX MISSING PARTS**

Re: U.S. Utility Patent Application  
Appl. No. 09/800,401; Filed 03/05/2001  
For: **SEMICONDUCTOR EUTECTIC ALLOY METAL (SEAM) TECHNOLOGY  
FOR FABRICATION OF COMPLIANT COMPOSITE SUBSTRATES AND  
INTEGRATION OF MATERIALS**  
Inventor(s): **ZHU, et al**

Sir:

Transmitted herewith for filing in the **Continued Prosecution Application (CPA)**, enclosed are the following documents:

- ☒ An Executed Combined Declaration and Power of Attorney according to 37 CFR § 1.63(d) (iv) for Patent Application No. 09/378,769, filed on 08/20/1999  
☒ Notice to File Missing Parts of Nonprovisional Application

### **FEES DUE**

The fees due for filing the application pursuant to 37 C.F.R. 1.16 and for recording the Assignment, if any, are determined as follow:

CLAIMS					
	No. of Claims		Extra Claims	Rate	Fees
Basic Application Fee (\$710 large entity; \$355 small entity)					\$ .00
Total Claims		Minus 20 =		X \$18 = X \$ 9 (small) =	.00
Total Independent Claims		Minus 3 =		X \$78 = X \$39 (small) =	.00
If Multiple Dependent Claims are presented, add \$260.00 or \$130.00(small)					
Oath of Declaration surcharge					65.00
Assignment Recordation fee \$40.00 paid					.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$ 65.00</b>

### **PAYMENT OF FEES**

The full fee due in connection with this communication is and is provided as follows:

\$ 65.00



The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.

X A Check No. 700 for the above specified full fee is enclosed. However, in case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.

This application is filed pursuant to 37 C.F.R. 1.53 in the name of the above-identified Inventor(s).

Please direct all correspondence concerning the above-identified application to the following address:

**FERNANDEZ & ASSOCIATES, LLP**  
**PATENT ATTORNEYS**  
PO BOX D  
MENLO PARK, CA 94026-6204

(650) 325-4999  
(650) 325-1203 : FAX  
EMAIL: *iploft@iploft.com*



**22877**

PATENT TRADEMARK OFFICE

Respectfully submitted,

DENNIS S. FERNANDEZ, ESQ.  
Reg. No. 34,160

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Date